



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/813,940	03/30/2004	William I. Chang	M-15350 US	7479
32605	7590	05/01/2007	EXAMINER	
MACPHERSON KWOK CHEN & HEID LLP			BELL, CORY C	
2033 GATEWAY PLACE			ART UNIT	PAPER NUMBER
SUITE 400			2164	
SAN JOSE, CA 95110			MAIL DATE	DELIVERY MODE
			05/01/2007	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	10/813,940	CHANG, WILLIAM I.	
	<b>Examiner</b>	<b>Art Unit</b>	
	Cory C. Bell	2164	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) Responsive to communication(s) filed on 28 February 2007.
- 2a) This action is FINAL.                    2b) This action is non-final.
- 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) Claim(s) 1-26 is/are pending in the application.
- 4a) Of the above claim(s) 3-7 and 16-20 is/are withdrawn from consideration.
- 5) Claim(s) \_\_\_\_\_ is/are allowed.
- 6) Claim(s) 1,2,8-15 and 21-26 is/are rejected.
- 7) Claim(s) \_\_\_\_\_ is/are objected to.
- 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) The specification is objected to by the Examiner.
- 10) The drawing(s) filed on 30 March 2004 is/are: a) accepted or b) objected to by the Examiner.  
 Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
 Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) All    b) Some \* c) None of:
  1. Certified copies of the priority documents have been received.
  2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

  
**SAM RIMELL**  
**PRIMARY EXAMINER**

#### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)          | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

## DETAILED ACTION

1. Claims 1-2, 8-15, and 21-26 have been examined.
2. Claims 3-7, and 16-20 have been withdrawn from consideration in light of applicants election.

### *Claim Rejections - 35 USC § 102*

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1-2, 8-10, 14-15, and 21-23 are rejected under 35 U.S.C. 102(b) as being anticipated by “A Distributed Event Logging System” published 12/03/2001, known hereafter as Jaiswal.

3. Claims 1 and 14 are rejected for the following reasons:

1. A distributed system comprising: a plurality of cooperative processes running on a plurality of processors of a computer network to accomplish a distributed transaction,(Page 1 para 2) each process logging in a local resource records of execution; and a search engine running on each of the plurality of processors,(Section 5 para 2) each search engine retrieving corresponding records of execution in response to a query(Section 6).

4. Claims 2 and 15 are rejected for the following reasons:

2. A distributed system as in claim 1, wherein the query is issued to the processors as a distributed query.(Section 6)

5. Claims 8 and 21 are rejected for the following reasons:

8. A distributed system as in claim 1, wherein the query is issued from a client which merges the results received from search engines responding to the query. (Section 6, Paras 2 and 4)

6. Claims 9 and 22 are rejected for the following reasons

9. A distributed system as in claim 8, wherein the client applies program rules on the merged results to determine correct operation of the distributed system. (Section 1 para 2)

7. Claims 10 and 23 are rejected for the following reasons:

10. A distributed system as in claim 1, wherein each search engine generates indices to the records of execution. (Section 4)

*Claim Rejections - 35 USC § 103*

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 11-12 and 24-25 are rejected under 35 U.S.C. 103(a) as being unpatentable over Jaiswel in view of US 6647517 files Apr. 27, 2000, known hereafter as Dickey.

Claims 11-12, and 24-25 are rejected as Jaiswel teaches periodically backing up log files and stating a new file in section 4 para 2, however Jaiswel fails to teach how the logs are stored. Dickey col 2 lines 1-14 teaches storing initially in memory, Col 5 lines 31-39 teaches offloading to a disk storage. Thus it would have been obvious to one of ordinary skill in the art at the time of the invention to include these features, as using memory is fast, and using disk memory for old or backup data is cheaper.

Claims 13 and 26 are rejected under 35 U.S.C. 103(a) as being unpatentable over Jaiswel in view of US 6647517 filed Apr. 27, 2000, known hereafter as Dickey in further view of US 6330570, filed Feb 26, 1999 known hereafter as Crighton or US 6,618,822 filed Jan 3, 2000 known hereafter as Loaiza.

Jaiswel and Dickey teach the claims upon which claims 13 and 26 are dependent, but fail to expressly disclose the merger of the indices in memory and in the disk storage. This is taught in Crighton Col 6 lines 40-48 which teaches an append type backup, by appending in this manner would cause the current file (the one stored in memory in this case) to be appended (and thus merged with) to the backup cope(the indices on the disk) Thus, it would have been obvious to one of ordinary skill in the art at the time of the invention to add this feature do to the advantage of providing a backup contains all previous log data) In the alternative, Loaiza also teaches this limitation as it teaches querying ranges of time in col 16 lines 1-14, thus in the instance were the range included both to backup and the current file the two would be merged as query results are merged as discussed in claim 8. Thus it would have been obvious to one of ordinary skill in the art at the time of the invention to include this feature to provide the advantage of narrowing the search to a date range.

Art Unit: 2164

***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Cory C. Bell whose telephone number is (571) 272 2736. The examiner can normally be reached on m-f 8:30-5:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Charles Rones can be reached on (571) 272 4085. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.



**SAM RIMELL**  
**PRIMARY EXAMINER**